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# Reduction in Dynamical Systems: A Representational View

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## 1 Introduction

Standard accounts have traditionally viewed reduction as a *deductive* relationship between two *formal* theories [23]. Schaffner’s *General Reduction Paradigm* [25] was an early attempt to modify Nagel’s classic account, so as to accommodate cases where the reduced theory is, strictly speaking, false. The most comprehensive and detailed deductivist account of reduction is Churchland and Hooker’s *Imaging Approach* [9, 10, 13, 14, 16], which can be seen as a creative development of Nagel’s basic insights, as well as a sensible departure from Nagel’s explicit tenets [4, 6, 7, 19]. [19] has convincingly argued that Kim’s *Functionalizing Approach* to reduction [17] is in fact a version of Nagel’s account; such a version is essentially equivalent to the Imaging Approach.

This paper proposes an alternative view, according to which reduction is better conceived as a *representational* relationship between two mathematical models  $MS_1$  and  $MS_2$ , which grants the retrieval, within the representing model  $MS_1$ , of an isomorphic image of  $MS_2$ .<sup>1</sup>

Bickle’s *New Wave Reduction* [6, ch. 3] is a version of the Imaging Approach by Churchland and Hooker in which (i) theories are construed as sets of models (semantically), rather than sets of sentences (syntactically), and thus (ii) reduction is not a *deductive* relationship between *formal* theories, but a relationship between *semantic* theories (i.e. *sets* of models) that satisfies special conditions. Notwithstanding these differences, reduction is still analyzed by Bickle as a special relationship between *theories* (i.e. *sets* of models) and not as a representational relationship between *models*. Bickle shares his general view of reduction and theory structure with the *Structuralist Program* [27, 28, 21, 22, 3, 2].

The general representational theory of reduction that I advocate is in broad agreement with Suppes’ *Reduction Paradigm* [29, 271],<sup>2</sup> and it is somehow consonant with some of the ideas of Hooker’s *dynamically based* revision of the Imaging Approach [15].

Compared to traditional approaches to reduction (*deductivist* or, more generally, *theory-based* approaches), the representational one has several advantages, whose details will only be apparent later. For the moment, it suffices to

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<sup>1</sup>The term “isomorphic image” is intended here in its rigorous mathematical sense. This is not the sense in which the Imaging Approach employs the same term.

<sup>2</sup>Section 4 (see case 2) will make clear that Schaffner’s [25] “too weak to be adequate” [6, ch. 3] criticism of Suppes’ Reduction Paradigm does not apply to my view.

33 say that the representational theory scores better as far as precision and depth  
 34 of analysis are concerned. Also, this theory is fostering a unified, conceptually  
 35 crisp, and formally developed account of *prima facie* conflicting aspects of re-  
 36 duction – total and exact reduction *vs.* partial, approximate and asymptotic  
 37 one, on which traditional approaches hardly fare as well.

38 I will develop this general representational theory only for the special case  
 39 of dynamical systems. As intended here [1, 30, 12], a *dynamical system* is a  
 40 kind of mathematical model that captures the intuitive idea of an arbitrary  
 41 deterministic system. Models of this kind allow us to study in a precise way  
 42 typical features of complex systems. Among them, in recent years, the one  
 43 of emulation has gained growing attention [33, 34, 35, 36, 37]. Intuitively, a  
 44 dynamical system  $DS_1$  *emulates* a second dynamical system  $DS_2$  when the  
 45 first one exactly reproduces the whole dynamics of the second one.

46 The emulation relationship can be defined in a precise way for any two  
 47 arbitrary dynamical systems and it has been shown [12, ch. 1, th. 11] that, if  
 48  $DS_1$  emulates  $DS_2$ , there is a third system  $DS_3$  such that (i)  $DS_2$  is isomorphic  
 49 to  $DS_3$ ; (ii) all states of  $DS_3$  are states of  $DS_1$ ; (iii) any state transition of  
 50  $DS_3$  is constructed out of state transitions of  $DS_1$ . In this paper, I will focus  
 51 on a more general version of this theorem [*Virtual System Theorem VST*],  
 52 which is based on a weaker and simpler definition of emulation. I will then  
 53 argue that this result allows us to claim: If  $DS_1$  emulates  $DS_2$ , then  $DS_2$  is  
 54 *reduced* to  $DS_1$ .

55 The claim that emulation is sufficient for reduction (in force of [*VST*])  
 56 is a precise statement of the representational view of reduction for the spe-  
 57 cial case of dynamical systems. Strictly speaking, this claim is intended to  
 58 hold exclusively for dynamical systems as purely *mathematical models* with  
 59 no empirical interpretation. In a different sense, however, dynamical sys-  
 60 tems typically function as *models of real phenomena*. In this second sense,  
 61 a dynamical system is not a purely mathematical entity  $DS$ , but it is a pair  
 62  $(DS, I_H)$ , where  $I_H$  is an empirical interpretation that links the purely mathe-  
 63 matical model  $DS$  to a phenomenon  $H$ . This paper will also provide the main  
 64 lines of an extension of the representational theory of reduction to *empirically*  
 65 *interpreted* dynamical systems.

66 As said, the emulation relationship is the basis of a representational view of  
 67 reduction for dynamical systems (either empirically interpreted or not). The  
 68 simplest form of such relationship holds between two dynamical systems  $DS_1$   
 69 and  $DS_2$  when the *whole* dynamics of  $DS_2$  is *exactly* reproduced by  $DS_1$ . This  
 70 simple form may very well be the basis for a representational account of *total*  
 71 and *exact* reduction, but we need a more sophisticated version of emulation  
 72 for dealing with cases of asymptotic, partial and approximate reduction [15].  
 73 Such a version will be introduced in section 5, where it will then be employed  
 74 for a treatment of partial and approximate reduction in empirically interpreted  
 75 dynamical systems.

## 76 2 Dynamical systems and emulation

77 A dynamical system is a kind of mathematical model that formally expresses  
 78 the notion of an arbitrary deterministic system, either reversible or irre-

79 versible, with discrete or continuous time or state space. Let  $Z$  be the in-  
 80 tegers,  $Z^+$  the non-negative integers,  $R$  the reals and  $R^+$  the non-negative  
 81 reals; below is the exact definition of a dynamical system.

82 [1] *DS is a dynamical system* iff *DS* is a pair  $(M, (g^t)_{t \in T})$  such that  
 83  
 84 1.  $M$  is a non-empty set;  $M$  represents all the possible states of the system,  
 85 and it is called the *state space*;  
 86 2.  $T$  is either  $Z$ ,  $Z^+$ ,  $R$ , or  $R^+$ ;  $T$  represents the time of the system, and  
 87 it is called the *time set*; any  $t \in T$  is called a *duration* of the system;  
 88 3.  $(g^t)_{t \in T}$  is a family of functions from  $M$  to  $M$ ; each function  $g^t$  is called  
 89 a *state transition* of duration  $t$ , or a *t-advance*, of the system;  
 90 4. for any  $t, v \in T$ , for any  $x \in M$ ,  $g^0(x) = x$  and  $g^{t+v}(x) = g^v(g^t(x))$ .

91 [2] A *discrete dynamical system* is a dynamical system whose state space  
 92 is finite or denumerable, and whose time set is either  $Z$  or  $Z^+$ ; examples of  
 93 discrete dynamical systems are Turing machines and cellular automata.<sup>3</sup>

94 [3] A *continuous dynamical system* is a dynamical system that is not dis-  
 95 crete; examples of continuous dynamical systems are iterated mappings on  $R$ ,  
 96 and systems specified by ordinary differential equations.

97 [4] A *possible dynamical system* is a pair  $(M, (g^t)_{t \in T})$  that satisfies the  
 98 first three conditions of definition [1].

99 We can now define the concept of an isomorphism between two possible  
 100 dynamical systems as follows.

101 [5]  $r$  is an *isomorphism of  $DS_1$  in  $DS_2$*  iff  $DS_1 = (M, (g^t)_{t \in T})$  and  $DS_2$   
 102  $= (N, (h^v)_{v \in V})$  are possible dynamical systems,  $T = V$ ,  $r: M \rightarrow N$  is a  
 103 bijection and, for any  $t \in T$ , for any  $x \in M$ ,  $r(g^t(x)) = h^t(r(x))$ .

104 [6]  $DS_1$  is *isomorphic to  $DS_2$*  iff there is  $r$  such that  $r$  is an isomorphism  
 105 of  $DS_1$  in  $DS_2$ .

106 It is easy to verify that the isomorphism relation is an equivalence relation  
 107 on any given set of possible dynamical systems. (The concept of *set of all*  
 108 *possible dynamical systems* is inconsistent, and we must then take as the  
 109 basis of the theory of dynamical systems a specific, sufficiently large, set of  
 110 possible dynamical systems.) It is also not difficult to prove that the relation  
 111 of isomorphism is compatible with the property of being a dynamical system,  
 112 that is to say: if  $DS_1$  is isomorphic to  $DS_2$  and  $DS_1$  is a dynamical system,  
 113 then  $DS_2$  is a dynamical system. This allows us to speak of abstract dynamical  
 114 systems in exactly the same sense we talk of abstract groups, fields, lattices,  
 115 order structures, etc. We can thus define:

116 [7] an *abstract dynamical system* is any equivalence class of isomorphic  
 117 dynamical systems.

118 It is easily shown that any two dynamical systems have exactly the same  
 119 *structural properties* iff they are isomorphic.<sup>4</sup> Since *general dynamical sys-*

<sup>3</sup>The term “discrete dynamical system” is often used (see, for example, [18, 20, 24]) as a synonym for “dynamical system with discrete time”, i.e., according to [30], a *cascade*. My use of the term “discrete dynamical system” is in accordance with [31].

<sup>4</sup> $P$  is a *structural property of a dynamical system* (or a *dynamical property*) iff for any two mathematical models  $MS_1$  and  $MS_2$ , (i) if  $MS_1$  has  $P$ ,  $MS_1$  is a dynamical system and (ii) if  $MS_1$  has  $P$ , and  $MS_1$  is isomorphic to  $MS_2$ , then  $MS_2$  has  $P$ . Thus, a dynamical property is a property *specific* to dynamical systems that is *preserved* by isomorphism.

120 *tems theory*<sup>5</sup> is exclusively interested in such properties, it regards any two  
 121 isomorphic systems as identical.

122 Dynamical systems are appropriate models to study several interesting fea-  
 123 tures of complex systems. The one of emulation is typical of computational  
 124 systems [37], but it can in principle involve any two dynamical systems. The  
 125 intuitive idea is that a dynamical system  $DS_1$  emulates a second dynamical  
 126 system  $DS_2$  when the first one exactly reproduces the whole dynamics of the  
 127 second one. Here are some examples. A universal Turing machine emulates  
 128 any Turing machine; for any Turing machine  $TM$  there is a cellular automa-  
 129 ton  $CA$  such that  $CA$  emulates  $TM$  [26, th. 3], and vice versa; the simple  
 130 cellular automaton specified by Wolfram's rule 18 emulates the one specified  
 131 by rule 90 (both  $CA$  are monodimensional, with 2 possible values for cell, and  
 132 neighborhood of radius 1; see [34, p. 20]).

133 [12, ch. 1, def. 4] gave a formal definition of the emulation relationship that  
 134 applies to any two arbitrary dynamical systems. Here, I will employ a weaker  
 135 and simpler definition (see figure 1), which nevertheless suffices for the present  
 136 purposes.

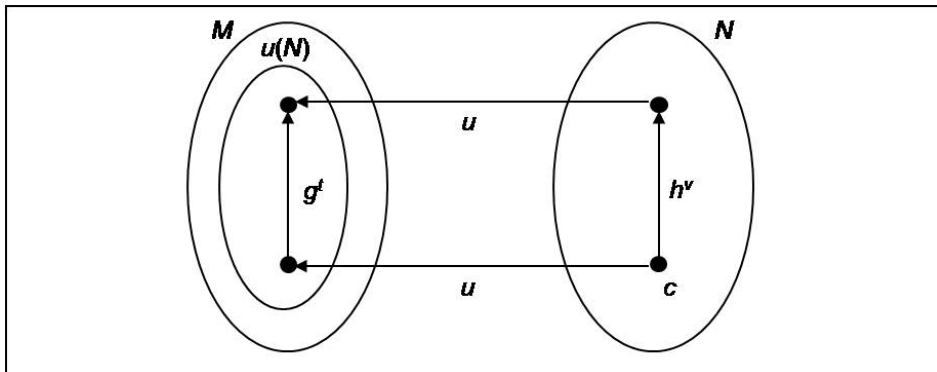


Figure 1. Emulation

137 [8]  $DS_1$  emulates  $DS_2$  iff  $DS_1 = (M, (g^t)_{t \in T})$  and  $DS_2 = (N, (h^v)_{v \in V})$  are  
 138 dynamical systems, and there is an injective function  $u: N \rightarrow M$  such that,  
 139 for any  $v \in V$ , for any  $c \in N$ , there is  $t \in T$  such that  $u(h^v(c)) = g^t(u(c))$ .  
 140 Any function  $u$  that satisfies the previous condition is called an *emulation of*  
 141  $DS_2$  in  $DS_1$ .

### 142 3 Emulation is sufficient for reduction

143 [12, ch 1, th. 11] proved that, if  $u$  is an emulation of  $DS_2$  in  $DS_1$ , there is a  
 144 third system  $DS_3$  such that (i)  $u$  is an isomorphism of  $DS_2$  in  $DS_3$ ; (ii) all

The proof that any two isomorphic dynamical systems have exactly the same dynamical properties is immediate. Conversely, for any two non-isomorphic dynamical systems  $DS_1$  and  $DS_2$ , there is a dynamical property they do not share; namely, the property of being isomorphic to  $DS_1$ .

<sup>5</sup>By *general dynamical systems theory* I mean the mathematical theory whose Suppes' style axiomatization [29, ch. 12] is given by def. [1].

145 states of  $DS_3$  are states of  $DS_1$ ; (iii) any state transition of  $DS_3$  is constructed  
 146 out of state transitions of  $DS_1$ . This result still holds for the weaker definition  
 147 of emulation [8], as the following theorem shows.

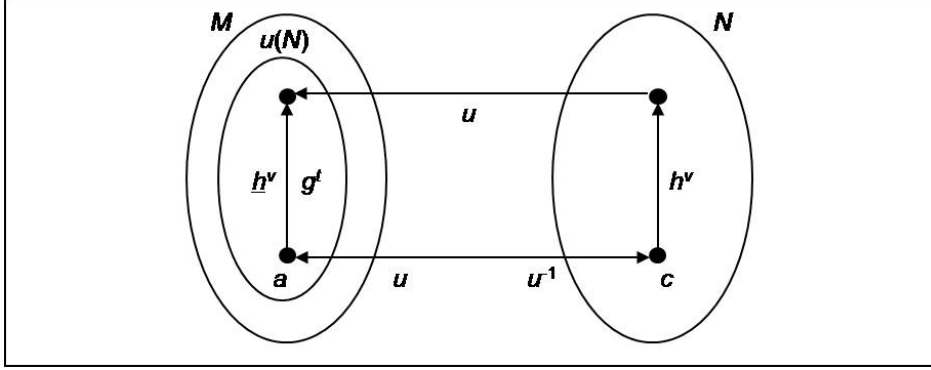


Figure 2. The  $u$ -virtual system  $DS_2$  in  $DS_1$

148 *Virtual System Theorem [VST]*

149 • Let  $DS_1 = (M, (g^t)_{t \in T})$  and  $DS_2 = (N, (h^v)_{v \in V})$  be dynamical systems,  
 150 and  $u$  be an emulation of  $DS_2$  in  $DS_1$ ;

151 • let  $DS_3 = (\underline{N}, (\underline{h}^v)_{v \in V})$ , where  $\underline{N} = u(N)$  and, for any  $a \in \underline{N}$ , for any  
 152  $v \in V$ ,  $\underline{h}^v(a) = u(h^v(u^{-1}(a)))$ ; the system  $DS_3$  is called *the  $u$ -virtual system*  
 153  $DS_2$  in  $DS_1$  (see figure 2);

154 then:

155 (i)  $u$  is an isomorphism of  $DS_2$  in  $DS_3$ ;

156 (ii) all states of  $DS_3$  are states of  $DS_1$ ;

157 (iii) for any state transition  $\underline{h}^v$  of  $DS_3$ , for any  $a \in \underline{N}$ , there is a state transition  
 158  $g^t$  of  $DS_1$  such that  $\underline{h}^v(a) = g^t(a)$ .

159 *Proof of (i)*

160 By the definition of  $DS_3$ , for any  $c \in N$ ,  $u(h^v(c)) = u(h^v(u^{-1}(u(c)))) =$   
 161  $\underline{h}^v(u(c))$ . Therefore, by the definition of isomorphism [5],  $u$  is an isomorphism  
 162 of  $DS_2$  in  $DS_3$ .

163 *Proof of (ii)*

164 Obvious, by the definition of  $DS_3$ .

165 *Proof of (iii)*

166 By the definition of  $DS_3$ , for any  $v \in V$ , for any  $a \in \underline{N}$ ,  $\underline{h}^v(a) = u(h^v(u^{-1}(a)))$ .  
 167 Let  $c = u^{-1}(a)$ . Since  $u$  is an emulation of  $DS_2$  in  $DS_1$ , by definition [8],  
 168 there is  $t \in T$  such that  $u(h^v(c)) = g^t(u(c))$ . Therefore,  $\underline{h}^v(a) = g^t(u(c)) =$   
 169  $g^t(a)$ . Q.E.D.

170 It is my contention that, if a dynamical system  $DS_1$  emulates a second  
 171 system  $DS_2$ , [VST] allows us to claim that  $DS_2$  is reduced to  $DS_1$ . In other  
 172 words, I maintain that, because of [VST], emulation is sufficient for *reduction*.

173 Before seeing the details of the supporting argument, it is important to  
 174 make clear that dynamical systems, as intended here, are *purely mathematical*

175 entities with no empirical interpretation; that is to say, at this level of analysis,  
 176 a dynamical system is just a model of the mathematical theory whose Suppes'  
 177 style axiomatization [29, ch. 12] is given by def. [1]. The claim that emulation  
 178 is sufficient for reduction is thus exclusively limited to dynamical systems  
 179 intended in this sense.

180 As just said, when I speak of a dynamical system as a *model*, I mean a model  
 181 of a quite general *mathematical theory*, whose axiomatization is expressed by  
 182 the definition, in set theory, of an appropriate set-theoretical predicate (def.  
 183 [1]). It is important to sharply distinguish this sense of the term “model” from  
 184 a different one, which also applies to dynamical systems, and is equally central  
 185 to a complete understanding of their epistemological status. This second sense  
 186 is the one intended when we say that a specific dynamical system is a model of  
 187 a *real phenomenon*; however, this sense does not refer to a dynamical system  
 188 as a purely mathematical entity (i.e., just a model of general dynamical system  
 189 theory) but, rather, to such entity *together with* an empirical interpretation  
 190 that links the mathematical model to the phenomenon which it is intended  
 191 to describe.

192 A simple example will make the distinction clear. Let us consider the  
 193 following system of two ordinary differential equations  $\langle dy(v)/dv = \dot{y}(v),$   
 194  $d\dot{y}(v)/dv = -g \rangle$ , where  $g$  is a fixed real positive constant. The solutions  
 195 of such equations uniquely determine the dynamical system  $DS_e = (Y \times$   
 196  $\dot{Y}, (h^v)_{v \in V})$ , where  $Y = \dot{Y} = V = R$  (the real numbers) and, for any  $v, y,$   
 197  $\dot{y} \in R, h^v(y, \dot{y}) = (-gv^2/2 + \dot{y}v + y, -gv + \dot{y})$ . It is immediate to verify  
 198 that  $DS_e$  satisfies def. [1], so that it is a model in the first sense.

199 On the other hand, let us consider the phenomenon of the free fall of a  
 200 medium size body in the vicinity of the earth (henceforth,  $H_e$ ), and let us  
 201 interpret the first component  $Y$  of the state space of  $DS_e$  as the set of all  
 202 possible values of the *vertical position* of an arbitrary free falling body, the  
 203 second component  $\dot{Y}$  as the set of all possible values of the *vertical velocity*  
 204 of the falling body,<sup>6</sup> and the time set  $V$  of  $DS_e$  as the set of all possible  
 205 values of *physical time*. Since all three of these magnitudes are measurable or  
 206 detectable properties of the intended phenomenon  $H_e$ , the given interpretation  
 207 is an *empirical* interpretation of the dynamical system  $DS_e$  on  $H_e$ . Let  $I_{H_e}$   
 208 be such an interpretation. Then, the pair  $(DS_e, I_{H_e}) = \mathbf{DS}_e$  is an empirical  
 209 model of  $H_e$ , i.e., such a *pair* is a model in the second sense.  $\mathbf{DS}_e$  will be  
 210 called the *falling body model*.

211 My claim that emulation is sufficient for reduction (in force of [VST]) is  
 212 intended to hold exclusively for dynamical models in the first sense. This  
 213 does not mean that such a claim does not have any bearing on the further  
 214 question: What are the conditions for reduction of an empirically interpreted  
 215 dynamical system  $(DS_2, I_2)$  to another one  $(DS_1, I_1)$ ? I will return later (see  
 216 sec. 4) to this question. For the moment, it suffice to say that, in my view, the  
 217 conditions for reduction of the mathematical model  $DS_2$  to the mathematical  
 218 model  $DS_1$  are a necessary component of the more complex conditions for

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<sup>6</sup>For any falling body  $a$ , if  $p_a$  is the point where  $a$  is initially released,  $a$ 's *vertical* position and velocity are taken with respect to an axis with origin in the earth center that passes through  $p_a$ ; the positive direction of such axis is from the earth center to its surface.

219 reduction of  $(DS_2, I_2)$  to  $(DS_1, I_1)$ .

220 I am now going to present a detailed argument to support the claim that  
 221 emulation is sufficient for reduction. The complete argument relies on five  
 222 premises, divided into three groups. The first premise (**A**) is the most general  
 223 one, for it refers to systems of *any* kind. Specifically, **A** states a sufficient  
 224 condition for reduction between two arbitrary systems. The premises of the  
 225 second group (**B1** and **B2**) are at an intermediate level of generality, for they  
 226 refer exclusively to *mathematical* systems of any kind, that is, systems that  
 227 are models of *some* mathematical theory. **B1** explicitly states what it is  
 228 to be intended for “constitutive entity of a mathematical model”, while **B2**  
 229 makes clear the meaning of “whole structure of a mathematical model”. The  
 230 premises of the third group (**C1** and **C2**) are the most specific, for they refer  
 231 to *dynamical systems* (in the purely mathematical sense). In particular, **C1**  
 232 states identity conditions for such systems, and **C2** makes explicit the exact  
 233 meaning of “whole structure of a dynamical system”. Below are the five  
 234 premises. Each of them is followed by a brief elucidation, which is intended  
 235 to pin point crucial features of the corresponding premise, as well as to provide  
 236 an intuitive justification for its assumption.

237 **A** For a system  $S_2$  to be reduced to a system  $S_1$ , it is sufficient that (a)  
 238 all the constitutive entities of  $S_2$  are constitutive entities of  $S_1$  and (b) the  
 239 whole structure of  $S_2$  is a part of the whole structure of  $S_1$ . *Elucidation* – In  
 240 general, a system  $S$  is characterized by a whole structure formed by a complex  
 241 of interconnected elements; each of these structural elements is built out of  
 242 a given stock of building blocks, which we call “the constitutive entities of  
 243  $S$ ”. Thus, if two systems  $S_1$  and  $S_2$  satisfy conditions (a) and (b) above, the  
 244 system  $S_2$  is in fact a subsystem of  $S_1$ ; this allows us to claim that  $S_2$  is  
 245 reduced to  $S_1$ .

246 **B1** The constitutive entities of a mathematical model are the entities in its do-  
 247 main. *Elucidation* – According to standard definition, a mathematical model  
 248  $MS$  is a set  $D$  together with a family  $(\sigma_i)_{i \in I}$  of relations on  $D$ . For any  $i \in$   
 249  $I$ , there is exactly one  $n \geq 0$  such that  $\sigma_i$  has arity  $n$ , where relations of arity  
 250 0 are identified with members of  $D$ , and relations of arity  $n > 0$  are identified  
 251 with sets of  $n$ -tuples of members of  $D$ ; the set  $D$  is called the *domain* of  
 252 the model. A mathematical model can thus be thought as a special kind of  
 253 system, whose structural elements are the relations in the family  $(\sigma_i)_{i \in I}$ , and  
 254 whose constitutive entities are the members of  $D$ .

255 **B2** The *whole* structure of a mathematical model  $MS = (D, (\sigma_i)_{i \in I})$  is the  
 256 union of all the relations in the family  $(\sigma_i)_{i \in I}$ ;<sup>7</sup> accordingly, if the relata of  
 257 “is a part of” are whole structures of mathematical models, “is a part of”  
 258 is to be interpreted as set-inclusion. *Elucidation* – We have just seen that  
 259 a mathematical model can be thought as a special kind of system, whose  
 260 structural elements are the relations in the family  $(\sigma_i)_{i \in I}$ . Each of such  
 261 relations is a set of  $n$ -tuples; thus, the union of these sets is the whole structure

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<sup>7</sup>The condition in the text holds iff any relation  $\sigma_i$  has arity  $> 0$ . The general condition is as follows. Let  $X = \{x: \text{for some } i \in I, x = \sigma_i \text{ and } \sigma_i \text{ is a relation of arity } 0\}$ ; then, the whole structure of  $(D, (\sigma_i)_{i \in I})$  is the union of  $X$  and all relations  $\sigma_i$  of arity  $> 0$ . Obviously, this condition reduces to the one in the text when  $X$  is empty, i.e., when any relation  $\sigma_i$  has arity  $> 0$ .

262 formed by the complex of such relations. Given this interpretation of “whole  
 263 structure of a mathematical model”, it is then obvious that “is a part of”  
 264 should be interpreted as set-inclusion.

265 **C1** From the point of view of general dynamical systems theory, any two  
 266 isomorphic dynamical systems are identical. *Elucidation* – General dynamical  
 267 systems theory studies the structural properties (see notes 4 and 5) of  
 268 dynamical systems, and any two dynamical systems have exactly the same  
 269 structural properties iff they are isomorphic. Therefore, general dynamical  
 270 systems theory does not distinguish between any two isomorphic dynamical  
 271 systems.

272 **C2** If a mathematical model is a dynamical system  $DS = (M, (g^t)_{t \in T})$ , the  
 273 whole structure of the model is the set of all state pairs  $(x, y)$  such that, for  
 274 some  $t \in T$ ,  $g^t(x) = y$ . *Elucidation* – We should first of all notice that, by def.  
 275 [1], a dynamical system is a mathematical model of a special kind, namely,  
 276 such that any relation  $g^t$  is in fact a function from  $M$  to  $M$ . Then, **C2** is an  
 277 immediate consequence of this observation and **B2**.<sup>8</sup>

278 SUFFICIENCY OF EMULATION FOR REDUCTION

279 1. For a mathematical model  $MS_2$  to be reduced to a mathematical model  
 280  $MS_1$ , it is sufficient that (a) the domain of  $MS_2$  is included in the domain of  
 281  $MS_1$  and (b) the whole structure of  $MS_2$  is included in the whole structure  
 282 of  $MS_1$ ; (logically follows from **A**, **B1** and **B2**);

283 2. hence, if  $u$  is an emulation of  $DS_2$  in  $DS_1$ , the  $u$ -virtual system  $DS_2$  in  
 284  $DS_1$  is reduced to  $DS_1$ ; (logically follows from 1, **C2**, and theses (ii) and (iii)  
 285 of [VST]);

286 3. if  $u$  is an emulation of  $DS_2$  in  $DS_1$ ,  $DS_2$  is isomorphic to the  $u$ -virtual  
 287 system  $DS_2$  in  $DS_1$ ; (logically follows from thesis (i) of [VST] and def. [6]);

288 4. consequently, if  $u$  is an emulation of  $DS_2$  in  $DS_1$ ,  $DS_2$  is reduced to  $DS_1$ .  
 289 (Logically follows from 2, 3, **C1** and the fact that dynamical systems, as  
 290 intended here, are just models of general dynamical systems theory.)

#### 291 4 Models of phenomena—sufficient conditions for total 292 and exact reduction in empirically interpreted 293 dynamical systems

294 Thus far, the *representational theory* of reduction has a precise formulation  
 295 only if the models involved are dynamical systems in the purely mathematical  
 296 sense. However, we have seen in sec. 3 that dynamical systems can also be  
 297 intended as *models of real phenomena*. According to this second sense of the  
 298 term “model”, a dynamical system is not a purely mathematical entity  $DS$ ;  
 299 rather, it is a pair  $(DS, I_H)$ , where  $I_H$  is an empirical interpretation that links  
 300 the purely mathematical model  $DS$  to a phenomenon  $H$ . The representational  
 301 theory should then be further developed to provide conditions for reduction  
 302 of an empirically interpreted dynamical system  $(DS_2, I_{H_2})$  to another one  
 303  $(DS_1, I_{H_1})$ . I will briefly sketch here the main lines of such development.  
 304 The following exposition has no pretention to exhaustiveness. Its goal is just

<sup>8</sup>Thus, **C2** is not an *independent* premise of the argument, for it is entailed by def. [1], the standard definition of a mathematical model, and **B2**.

305 to trace a possible way along which an adequate representational theory of  
 306 reduction for *empirically interpreted* dynamical systems might be worked out.

307 In general, a *phenomenon*  $H$  can be thought as a pair  $(F, B_F)$  of two distinct  
 308 elements. The first one,  $F$ , is a *functional description* of (i) an abstract type  
 309 of real system  $AS_F$  and (ii) a general spatio-temporal scheme  $CS_F$  of its  
 310 causal interactions; in particular, the functional description of the abstract  
 311 system  $AS_F$  specifies its structural elements (or functional parts) and their  
 312 mutual relationships and organization, while the description of the causal  
 313 scheme  $CS_F$  specifies the initial conditions of  $AS_F$ 's evolution. The second  
 314 element,  $B_F$ , is the set of all concrete systems of type  $AS_F$  that also satisfy  
 315 the causal interaction scheme  $CS_F$ ;  $B_F$  is called the *application domain*<sup>9</sup> of  
 316 the phenomenon  $H$ .

317 For example, let  $H_e = (F_e, B_{F_e})$  be the phenomenon of the free fall of a  
 318 medium size body in the vicinity of the earth (from now on, I will refer to  $H_e$   
 319 just as *the phenomenon of free fall*). In this case, the functional description  
 320  $F_e$  is as follows. The abstract type of real system  $AS_{F_e}$  has just one structural  
 321 element, namely, a medium size body in the vicinity of the earth; the causal  
 322 interaction scheme  $CS_{F_e}$  consists in releasing the body at an arbitrary instant,  
 323 and with a *purely vertical* velocity and position (relative to the earth surface)  
 324 whose respective values are within appropriate boundaries.  $B_{F_e}$  is then the  
 325 set of all concrete medium size bodies in the vicinity of the earth that satisfy  
 326 the given scheme of causal interactions. Any such body will be called a (*free*)  
 327 *falling body*.

328 Let  $DS = (X_1 \times \dots \times X_n, (g^t)_{t \in T})$  be a dynamical system whose state  
 329 space  $M = X_1 \times \dots \times X_n$  has  $n$  components  $X_i$  ( $1 \leq i \leq n$ , where  $i, n \in \mathbb{Z}^+ =$   
 330 the non negative integers). An *interpretation*  $I_H$  of  $DS$  on a phenomenon  $H$   
 331 consists in identifying each component  $X_i$  with the set of all possible values  
 332 of a magnitude  $M_i$  of the phenomenon  $H$ , and the time set  $T$  with the set  
 333 of all possible instants of the time  $T$  of  $H$  itself. An interpretation  $I_H$  of  $DS$   
 334 on  $H$  is *empirical* if the time  $T$  and all the magnitudes  $M_i$  are measurable  
 335 properties of the phenomenon  $H$ . A pair  $(DS, I_H)$ , where  $DS$  is a dynamical  
 336 system with  $n$  components and  $I_H$  is an interpretation of  $DS$  on  $H$ , is said to  
 337 be a *model of the phenomenon*  $H$ . If the interpretation  $I_H$  is empirical, then  
 338  $(DS, I_H)$  is an *empirical model of*  $H$ . Such a model is said to be *empirically*  
 339 *correct* if, for any  $i$ , all measurements of magnitude  $M_i$  are consistent with  
 340 the corresponding values  $x_i$  determined by  $DS$ . An empirically correct model  
 341 of  $H$  is also called a *Galilean model of*  $H$  [11], [12, ch. 3]. A *Galilean model*  
 342 is then any empirically correct model of some phenomenon.

343 As an example, let us consider again the phenomenon of free fall  $H_e$ . Let  
 344  $DS_e$  be the dynamical system with two components specified in sec. 3, and  
 345  $I_{H_e}$  be its interpretation given in sec. 3; then, according to the previous  
 346 definitions,  $I_{H_e}$  is an empirical interpretation of  $DS_e$  on  $H_e$ , and  $(DS_e, I_{H_e}) =$   
 347  $DS_e$  is an empirical model of  $H_e$ . For an appropriate value of the constant

<sup>9</sup>Since the functional description  $F$  typically contains several idealizations, no concrete or real system  $RS$  *exactly* satisfies  $F$ , but it rather fits  $F$  up to a certain degree. Thus, from a formal point of view, the application domain  $B_F$  of a phenomenon  $(F, B_F)$  might be better described as a fuzzy set.

348  $g$ , such a model also turns out to be empirically correct.<sup>10</sup>

349 Let us now consider two phenomena  $H_1 = (F_1, B_{F_1})$  and  $H_2 = (F_2, B_{F_2})$ ,  
 350 and two empirically interpreted dynamical systems  $\mathbf{DS}_1 = (DS_1, I_{H_1})$  and  
 351  $\mathbf{DS}_2 = (DS_2, I_{H_2})$  such that  $\mathbf{DS}_1$  is an empirical model of  $H_1$  and  $\mathbf{DS}_2$   
 352 is an empirical model of  $H_2$ . What are the conditions for reduction of  $\mathbf{DS}_2$  to  
 353  $\mathbf{DS}_1$ ? I will divide the discussion into three distinct cases.

354 **Case 1.** Let us suppose that  $B_{F_2} \subseteq B_{F_1}$ . Under this hypothesis, it seems  
 355 sensible to claim that, if  $DS_1$  emulates  $DS_2$ , then  $\mathbf{DS}_2$  is reduced to  $\mathbf{DS}_1$ .  
 356 To see this point, let us notice, first, that the hypothesis  $B_{F_2} \subseteq B_{F_1}$  en-  
 357 sures that any concrete system described by  $\mathbf{DS}_2$  is also described by  $\mathbf{DS}_1$ .  
 358 Second, let  $u: Y_1 \times \dots \times Y_n \rightarrow X_1 \times \dots \times X_m$  be an emulation of  $DS_2 =$   
 359  $(Y_1 \times \dots \times Y_n, (h^v)_{v \in V})$  in  $DS_1 = (X_1 \times \dots \times X_m, (g^t)_{t \in T})$ . Thus, by def.  
 360 [8], any state transition  $h^v: (y_1, \dots, y_n) \rightarrow (y_1', \dots, y_n')$  corresponds to a  
 361 state transition  $g^t: (x_1, \dots, x_m) \rightarrow (x_1', \dots, x_m')$ , where  $u(y_1, \dots, y_n)$   
 362  $= (x_1, \dots, x_m)$  and  $u(y_1', \dots, y_n') = (x_1', \dots, x_m')$ . In addition, since  
 363  $\mathbf{DS}_2$  is a model of  $H_2$ , for any  $j$ ,  $y_j$  and  $y_j'$  are values of a measurable mag-  
 364 nitude  $\mathbf{M}_j$  of  $H_2$ , and  $v$  is a value of the time  $\mathbf{T}_2$  of  $H_2$ ; on the other hand,  
 365 since  $\mathbf{DS}_1$  is an empirical model of  $H_1$ , for any  $i$ ,  $x_i$  and  $x_i'$  are values of  
 366 a measurable magnitude  $\mathbf{M}_i$  of  $H_1$ , and  $t$  is a value of the time  $\mathbf{T}_1$  of  $H_1$ .  
 367 For any concrete system  $RS \in B_{F_2}$ , both the  $\mathbf{DS}_2$  and the  $\mathbf{DS}_1$  descriptions  
 368 apply to  $RS$ . But then, the emulation function  $u$  tells us exactly how the  $\mathbf{DS}_2$   
 369 description of  $RS$  corresponds to the  $\mathbf{DS}_1$  description.

370 As an example, let  $\mathbf{DS}_e = (DS_e, I_{H_e})$  be the falling body model, where  $H_e$   
 371  $=$  the phenomenon of free fall, and let  $H_p = (F_p, B_{F_p})$  be the phenomenon  
 372 of projectile motion, where its functional description  $F_p$  and its application  
 373 domain  $B_{F_p}$  are specified as follows. The abstract type of real system  $AS_{F_p}$   
 374 is a medium size body in the vicinity of the earth, and it is thus identical  
 375 to  $AS_{F_e}$ . However, the causal interaction scheme  $CS_{F_p}$  is more general than  
 376  $CS_{F_e}$ , for it consists in the body's being released at an arbitrary instant, and  
 377 with any velocity and position (relative to the earth surface) whose respective  
 378 values are within appropriate boundaries.  $B_{F_p}$  is then the set of all concrete  
 379 medium size bodies in the vicinity of the earth that satisfy the given more  
 380 general scheme of causal interactions.

381 Let us then consider the following system of four ordinary differential equa-  
 382 tions  $\langle dx(t)/dt = \dot{x}(t), dy(t)/dt = \dot{y}(t), d\dot{x}(t)/dt = 0, d\dot{y}(t)/dt = -g \rangle$ , where  
 383  $g$  is a fixed real positive constant. The solutions of such equations uniquely  
 384 determine the dynamical system  $DS_p = (X \times Y \times \dot{X} \times \dot{Y}, (g^t)_{t \in T})$ , where  $X =$   
 385  $Y = \dot{X} = \dot{Y} = T = R$  (the real numbers) and, for any  $t, x, y, \dot{x}, \dot{y} \in R$ ,  
 386  $g^t(x, y, \dot{x}, \dot{y}) = (\dot{x}t + x, -gt^2/2 + \dot{y}t + y, \dot{x}, -gt + \dot{y})$ .

387 Let  $I_{H_p}$  be the following interpretation of  $DS_p$  on the phenomenon of pro-  
 388 jectile motion  $H_p$ . In the first place, for any projectile  $a$ , let  $p_a$  be the point  
 389 where  $a$  is initially released; we then consider the plane that contains  $a$ 's ini-  
 390 tial velocity vector and the earth center. On this plane, we fix both the  $x$ -axis  
 391 and the  $y$ -axis of a Cartesian coordinate system, in such a way that its origin

<sup>10</sup>Quite obviously, if  $g = \text{standard gravity}$  ( $g = 9.80665 \text{ m/s}^2$ ), the model  $(DS_e, I_{H_e}) = \mathbf{DS}_e$  turns out to be empirically correct within limits of precision sufficient for many practical purposes.

392 coincides with the earth center, and the  $y$ -axis passes through  $p_a$ . The posi-  
 393 tive direction of the  $y$ -axis is from the earth center to its surface; accordingly,  
 394 we call the  $y$ -axis the *vertical axis*, and the  $x$ -axis the *horizontal axis*. We  
 395 then interpret the first component  $X$  of the state space of  $DS_p$  as the set of  
 396 all possible values of the *horizontal position* of the projectile  $a$ , the second  
 397 component  $Y$  as the set of all possible values of its *vertical position*, the third  
 398 component  $\dot{X}$  as the set of all possible values of its *horizontal velocity*, the  
 399 fourth component  $\dot{Y}$  as the set of all possible values of its *vertical velocity*,  
 400 and the time set  $T$  of  $DS_p$  as the set all possible values of *physical time*. Since  
 401 all five of these magnitudes are measurable or detectable properties of the  
 402 intended phenomenon  $H_p$ ,  $I_{H_p}$  is an *empirical* interpretation of  $DS_p$  on  $H_p$ .

403 Let  $DS_p = (DS_p, I_{H_p})$ ;  $DS_p$  will be called the *projectile model*. By the  
 404 respective definitions of  $B_{F_e}$  and  $B_{F_p}$ ,  $B_{F_e} \subset B_{F_p}$ . Thus, by case 1, to show  
 405 that the falling body model  $DS_e$  is reduced to the projectile model  $DS_p$ , it  
 406 suffice to exhibit an emulation  $u$  of  $DS_e$  in  $DS_p$ . Let  $u: Y \times \dot{Y} \rightarrow X \times Y \times \dot{X} \times \dot{Y}$   
 407 and, for any  $y, \dot{y} \in R$ ,  $u(y, \dot{y}) = (0, y, 0, \dot{y})$ ; then, quite obviously,  $u$  is an  
 408 emulation of  $DS_e$  in  $DS_p$ .

409 **Case 2.** Let us suppose next that  $B_{F_2} \cap B_{F_1} = \emptyset$ . In this case, no matter  
 410 how  $DS_1$  and  $DS_2$  are related,  $DS_2$  is not reduced to  $DS_1$ . For, even if  $DS_2$   
 411 is identical to  $DS_1$ , any concrete system described by  $DS_2$  (that is to say,  
 412 any concrete system  $RS \in B_{F_2}$ ) is not a system also described by  $DS_1$ .

413 **Case 3.** The case  $B_{F_2} \cap B_{F_1} \neq \emptyset$  and  $\neg(B_{F_2} \subseteq B_{F_1})$  is still left. This case  
 414 is a combination of the previous two. In fact, for some concrete system  $RS$   
 415  $\in B_{F_2}$ , both the  $DS_2$  and the  $DS_1$  descriptions apply to  $RS$ ; however, if  $RS$   
 416  $\in B_{F_2}$  and  $RS \notin B_{F_1}$ , only the  $DS_2$  description applies to  $RS$ . Thus, in this  
 417 case, if  $DS_1$  emulates  $DS_2$ ,  $DS_2$  is *incompletely reduced to  $DS_1$* .

418 We have just seen that case 3 only grants *incomplete* reduction of  $DS_2$   
 419 to  $DS_1$ , provided that  $DS_1$  emulates  $DS_2$ . However,  $DS_2$  may turn out to  
 420 be *multiply* reduced to a *family*  $(DS_j)_{j \in J} = ((DS_j, I_{H_j}))_{j \in J}$  of empirically  
 421 interpreted dynamical systems, each of which satisfies case 3 and emulates  
 422  $DS_2$ . This will be the case if the application domain  $B_{F_2}$  is included in the  
 423 union of all application domains  $B_{F_j}$ . More precisely, for  $DS_2$  to be *multiply*  
 424 *reduced to*  $(DS_j)_{j \in J}$ , it is sufficient that, for any  $j \in J$ ,  $B_{F_2} \cap B_{F_j} \neq \emptyset$ ,  
 425  $\neg(B_{F_2} \subseteq B_{F_j})$ ,  $DS_j$  emulates  $DS_2$ , and  $B_{F_2} \subseteq \cup_{j \in J} B_{F_j}$ .

426 A relationship between this condition for multiple reduction and the second  
 427 order property version of the multiple realization concept [17, pp. 19–20,  
 428 103–104] is worth noticing. According to the latter, a property  $P$  is *multiply*  
 429 *realized by properties of type  $D$*  just in case, for any  $x$ ,  $x$  has  $P$  iff there is a  
 430 property  $P_j$  of type  $D$  such that  $x$  has  $P_j$ . Any property  $P_j$  that satisfies the  
 431 previous condition is said a *D-realizer* of the property  $P$ , and the property  $P$   
 432 itself is said a *second order property*.

433 Suppose now that  $DS_2$  is multiply reduced to  $(DS_j)_{j \in J}$  according to the  
 434 previously stated sufficient condition. Let  $P_2$  be the property that corre-  
 435 sponds to functional description  $F_2$  and, for any  $j \in J$ ,  $P_j$  be the property  
 436 that corresponds to functional description  $F_j$ . Let  $D$  be the property of being  
 437 one of the properties  $P_j$ , for some  $j \in J$ . As  $B_{F_2} \subseteq \cup_{j \in J} B_{F_j}$ , it follows that,  
 438 if  $x$  has  $P_2$ , then  $x$  has  $P_j$ , for some  $j \in J$ . Furthermore, if  $B_{F_2} = \cup_{j \in J} B_{F_j}$ ,

439 the converse holds as well, so that  $P_2$  is multiply realized by properties of  
 440 type  $D$ , and  $(P_j)_{j \in J}$  is the family of its  $D$ -realizers.

441 From an intuitive point of view, the emulation relationship holds between  
 442 two dynamical systems  $DS_1$  and  $DS_2$  when the *whole* dynamics of  $DS_2$  is *ex-*  
 443 *actly* reproduced by  $DS_1$ . I have argued so far that this relationship might be  
 444 the basis for a new approach to reduction, which I have called *representational*.  
 445 However, it is well known that, in many cases of inter-theoretic reduction, the  
 446 relationship between the reduced theory  $S_2$  and the reducing one  $S_1$  is such  
 447 that  $S_2$  is only *partially* and *approximately* reduced to  $S_1$ . Furthermore, such  
 448 a relationship typically is an *asymptotic* one, that is, it depends on some pa-  
 449 rameter  $p^*$  of either  $S_1$  or  $S_2$  in such a way that, for  $p^*$  tending to some fixed  
 450 limiting value  $p$ ,  $S_2$  tends to be partially and approximately reduced to  $S_1$ ,  
 451 as established according to the limiting value  $p$ .<sup>11</sup>

452 The simple form of the emulation relationship considered so far may very  
 453 well be the basis for a representational account of *total* and *exact* reduction  
 454 (like, for example, the reduction of the falling body model  $DS_e$  to the projec-  
 455 tile model  $DS_p$ ; see case 1 above). Nevertheless, we need a more sophisticated  
 456 version of emulation for dealing with cases of asymptotic, partial and approxi-  
 457 mate reduction. In the next section, I suggest how this might be accomplished  
 458 and provide (i) a formal definition of *partial* and *approximate* emulation, (ii) a  
 459 simple example that shows how this relationship may turn out to be asymp-  
 460 totic, and (iii) sufficient conditions for partial and approximate reduction in  
 461 empirically interpreted dynamical systems.

## 462 5 Partial and approximate emulation—sufficient 463 conditions for partial and approximate reduction in 464 empirically interpreted dynamical systems

465 Intuitively, a dynamical system  $DS_1 = (M, (g^t)_{t \in T})$  partially emulates a  
 466 second dynamical system  $DS_2 = (N, (h^v)_{v \in V})$  if  $DS_1$  exactly reproduces the  
 467 dynamics of  $DS_2$ , limited to a fixed non-empty subset  $C$  of  $DS_2$ 's state space  
 468  $N$ . This concept is thus a straightforward relativization of def. [8]. Let  $C \neq \emptyset$ ,  
 469  $C \subseteq N$ , and define:

470 [9]  $DS_1$  *C-emulates*  $DS_2$  iff there is an injective function  $u: C \rightarrow M$  such  
 471 that for any  $v \in V$ , for any  $c \in C$ , there is  $t \in T$  such that  $u(h^v(c)) = g^t(u(c))$ .  
 472 Any function  $u$  that satisfies the previous condition is called a *C-emulation*  
 473 *of  $DS_2$  in  $DS_1$* , and  $C$  is called its *emulation domain*.

474 Intuitively,  $DS_1$  approximately emulates  $DS_2$  if each state transition  $h^v: y$   
 475  $\rightarrow y'$  of  $DS_2$  approximately corresponds to a state transition  $g^t: x \rightarrow x'$  of

<sup>11</sup>Hooker ([15, p. 436]) maintains that “asymptotics provides the ground on which claims about inter-theoretic explanation, reduction and emergence must ultimately rest”. According to him, “in physics, we find that the most famous theory pairs are all asymptotically related” ([15, p. 437]. Among such pairs, he explicitly mentions: (i) special relativity and Newtonian mechanics; (ii) optics and ray optics; (iii) quantum mechanics and Newtonian mechanics; (iv) statistical mechanics and thermodynamics (where, in each pair, the first element is the *reducing* theory and the second element is the *reduced* theory). According to Hooker, an analogous relationship may also hold between two different models of the *same* theory; an example is the following pair of models of Newtonian mechanics: a non linear classic pendulum model and a harmonic oscillator model ([15, p. 438]).

476  $DS_1$ . This idea can be made precise by requiring that, for some injective function  
 477  $u$ ,  $u(y) = x$ , and  $u(y')$  be *sufficiently close* to  $x'$ , where the two states  
 478  $u(y')$ ,  $x' \in M$  are sufficiently close to each other if their *distance* does not ex-  
 479 ceed a fixed non-negative real  $\delta$ . Thus, the concept of approximate emulation  
 480 in fact presupposes that  $M$  (i.e., the state space of  $DS_1$ ) be equipped with a  
 481 metric. Let  $d: M \times M \rightarrow R^+$  be a metric on  $M$ , let  $\delta \in R^+$ . We then define:

482 [10]  $DS_1$   $\delta$ -emulates  $DS_2$  iff there is an injective function  $u: N \rightarrow M$  such  
 483 that, for any  $v \in V$ , for any  $c \in N$ , there is  $t \in T$  such that  $d(u(h^v(c)),$   
 484  $g^t(u(c))) \leq \delta$ . Any function  $u$  that satisfies the previous condition is called a  
 485  $\delta$ -emulation of  $DS_2$  in  $DS_1$ , and  $\delta$  is called its *approximation degree*. If, for  
 486 some  $\delta$ ,  $u$  is a  $\delta$ -emulation of  $DS_2$  in  $DS_1$ , the minimum of all such  $\delta$  must  
 487 exist, for  $R$  satisfies the least upper bound property.<sup>12</sup> Let  $\delta^{\min}$  be such a  
 488 minimum;  $\delta^{\min}$  is then called  $u$ 's *best approximation degree*. Thus, obviously,  
 489 if, for some  $\delta$ ,  $u$  is a  $\delta$ -emulation of  $DS_2$  in  $DS_1$ , then  $u$  is a  $\delta$ -emulation of  
 490  $DS_2$  in  $DS_1$ .

491 Finally, by combining definitions [9] and [10], we get a definition of the  
 492 intuitive idea of *partial* and *approximate* emulation. Let  $C \neq \emptyset$ ,  $C \subseteq N$ ,  $d:$   
 493  $M \times M \rightarrow R^+$  be a metric on  $M$ , and  $\delta \in R^+$ ;

494 [11]  $DS_1$   $C$ - $\delta$ -emulates  $DS_2$  iff there is an injective function  $u: C \rightarrow M$   
 495 such that for any  $v \in V$ , for any  $c \in C$ , there is  $t \in T$  such that  $d(u(h^v(c)),$   
 496  $g^t(u(c))) \leq \delta$ . Any function  $u$  that satisfies the previous condition is called a  
 497  $C$ - $\delta$ -emulation of  $DS_2$  in  $DS_1$ ,  $C$  is called its *emulation domain*, and  $\delta$   
 498 is called its *approximation degree*. If, for some  $\delta$ ,  $u$  is a  $C$ - $\delta$ -emulation of  
 499  $DS_2$  in  $DS_1$ , the minimum of all such  $\delta$ , indicated by  $\delta^{\min}$ , is called  $u$ 's *best*  
 500 *approximation degree*.<sup>13</sup> Thus, obviously, if, for some  $\delta$ ,  $u$  is a  $C$ - $\delta$ -emulation  
 501 of  $DS_2$  in  $DS_1$ , then  $u$  is a  $C$ - $\delta$ -emulation of  $DS_2$  in  $DS_1$ .

## 502 5.1 An example

503 Let  $X = \dot{X} = T = V = R$  (the real numbers), and  $DS_n = (X \times \dot{X}, (g^t)_{t \in T})$  be  
 504 the dynamical system that is determined by the solutions of the following non-  
 505 linear system of ordinary differential equations  $\langle dx(t)/dt = \dot{x}(t), d\dot{x}(t)/dt =$   
 506  $-\mathbf{g} \sin(x(t)/l) \rangle$ , where  $\mathbf{g}$  is a fixed real positive constant, and  $l$  is an arbitrary  
 507 real positive parameter; note that this system is in fact a *non-linear classic*  
 508 *pendulum*.<sup>14</sup> On the other hand, let  $DS_o = (X \times \dot{X}, (h^v)_{v \in V})$  be the dynamical  
 509 system that is determined by the solutions of the following linear system of  
 510 ordinary differential equations  $\langle dx(v)/dv = \dot{x}(v), d\dot{x}(v)/dv = -\mathbf{g}x(v)/l \rangle$ ,  
 511 where  $\mathbf{g}$  and  $l$  are as above; this second system is a *harmonic oscillator*.

512 Let  $0 \leq \theta \leq \pi$ , and  $C_\theta = \{c \text{ such that, for some } x \in R, c = (x, 0), \text{ and}$   
 513  $-\theta \leq x/l \leq \theta\}$ . As it can be visually verified by means of any dynamical  
 514 systems software, for an appropriately chosen  $\delta_\theta > 0$ , for any  $v \in V$ , for any  $c$   
 515  $\in C_\theta$ ,  $d(h^v(c), g^v(c)) \leq \delta_\theta$ , where  $d$  is the usual Euclidean distance on  $X \times \dot{X}$

<sup>12</sup>According to the least upper bound property, for any non-empty subset  $A$  of  $R$ , if  $A$  has an upper bound, then the minimum of all upper bounds of  $A$  exists. Also recall that, for any  $B \subseteq R$ ,  $m$  is the minimum of  $B$  iff  $m \in B$  and, for any  $b \in B$ ,  $m \leq b$ ;  $u$  is an upper bound of  $B$  iff  $u \in R$  and, for any  $b \in B$ ,  $b \leq u$ .

<sup>13</sup>Such a minimum exists (see def. [10]).

<sup>14</sup>If  $c = (m\pi l, 0)$  for some  $m \in Z$ , then  $g^t(c) = c$  for any  $t \in T$ ; that is to say,  $c$  is a fixed point of  $DS_n$ .

516 =  $R^2$ . Let  $u$  be the identity function on  $C_\theta$ . By def. [11], it thus follows that  
 517  $u$  is a  $C_\theta$ - $\delta_\theta$ -emulation of  $DS_o$  in  $DS_n$ . Let  $\delta_\theta^{\min}$  be the minimum of all such  
 518  $\delta_\theta$ . Then, by def. [11],  $u$  is a  $C_\theta$ - $\delta_\theta^{\min}$ -emulation of  $DS_o$  in  $DS_n$  as well, and so  
 519  $DS_n$   $C_\theta$ - $\delta_\theta^{\min}$ -emulates  $DS_o$ .

520 It is important to keep in mind that  $\delta_\theta^{\min}$  represents the best approximation  
 521 degree to which  $DS_n$  partially emulates  $DS_o$  with respect to emulation domain  
 522  $C_\theta$ . Besides,  $\delta_\theta^{\min}$  is a function of  $\theta \in [0, \pi]$ . Therefore, we can study the  
 523 behavior of  $\delta_\theta^{\min}$  for  $\theta$  tending to 0 from the right, and it is not difficult to  
 524 verify that  $\lim_{\theta \rightarrow 0^+} \delta_\theta^{\min} = 0 = \delta_0^{\min}$ .

525 That is to say, for  $\theta$  tending to 0 from the right, the best approximation de-  
 526 gree to which  $DS_n$  partially emulates  $DS_o$  with respect to emulation domain  
 527  $C_\theta$  tends to the best approximation degree to which  $DS_n$  partially emulates  
 528  $DS_o$  with respect to emulation domain  $C_0$ . In this precise sense, then, the re-  
 529 lationship of partial and approximate emulation of  $DS_n$  by  $DS_o$  (with respect  
 530 to emulation domain  $C_\theta$ , and to the best approximation degree  $\delta_\theta^{\min}$ ) turns  
 531 out to be asymptotic (see sec. 4, penultimate paragraph).

## 522 5.2 Empirical interpretations of the two dynamical systems of 533 the previous example

534 Both dynamical systems  $DS_n = (X \times \dot{X}, (g^t)_{t \in T})$  and  $DS_o = (X \times \dot{X}, (h^v)_{v \in V})$   
 535 can be given natural empirical interpretations on corresponding phenomena.  
 536 As regards the first system, let  $H_n = (F_n, B_{F_n})$  be the phenomenon of  
 537 the unrestricted swing of a pendulum or, more briefly, *the phenomenon of*  
 538 *(unrestricted) pendulum swings*, where its functional description  $F_n$  and its  
 539 application domain  $B_{F_n}$  are specified as follows. The abstract type of real  
 540 system  $AS_{F_n}$  (called *simple* or *classic pendulum*) is made up of two structural  
 541 elements, namely, a light rigid arm of length  $l$ , with a much heavier “bob”  
 542 on one of its ends; the arm is pivoted on the other end, so that the bob can  
 543 frictionlessly swing along a circular path of radius  $l$  in a vertical plane. The  
 544 causal interaction scheme  $CS_{F_n}$  consists in releasing the bob at an arbitrary  
 545 instant and position on its swinging path, with an arbitrary tangent veloci-  
 546 ty.  $B_{F_n}$  is then the set of all concrete simple pendula that satisfy the given  
 547 scheme of causal interaction. Any such device will be called an *(unrestricted)*  
 548 *pendulum*.

549 Let  $I_{H_n}$  be the following interpretation of  $DS_n$  on the phenomenon of un-  
 550 restricted pendulum swings  $H_n$ . The first component  $X$  of the state space of  
 551  $DS_n$  is the set of all possible values of the *bob position*<sup>15</sup> of an arbitrary un-  
 552 restricted pendulum, the second component  $\dot{X}$  is the set of all possible values  
 553 of the *bob tangent velocity*, and the time set  $T$  of  $DS_n$  is the set of all possible  
 554 values of *physical time*. Since all three of these magnitudes are measurable  
 555 or detectable properties of the intended phenomenon  $H_n$ ,  $I_{H_n}$  is an empirical  
 556 interpretation of  $DS_n$  on  $H_n$ , and  $\mathbf{DS}_n = (DS_n, I_{H_n})$  is thus a model of  $H_n$ .  
 557 This model is empirically correct, for an appropriate value of the constant

<sup>15</sup>A positive (negative) bob position  $x$  is the distance (the opposite of the distance), along the positive (negative) direction of the circular swinging path, of the bob itself from the intersection  $O$  between the path and the vertical straight line passing through the pendulum pivot. We take the positive path direction to be anticlockwise.

558  $g$ .<sup>16</sup> Henceforth, I will refer to  $DS_n$  as the (*unrestricted*) *pendulum model*.

559 As for the second system, let  $H_{o\theta} = (F_{o\theta}, B_{F_{o\theta}})$  be the phenomenon of  
 560 pendulum motion restricted to small swings or, more briefly, *the phenomenon*  
 561 *of small pendulum swings*, where its functional description  $F_{o\theta}$  and its appli-  
 562 cation domain  $B_{F_{o\theta}}$  are specified as follows. The abstract type of real system  
 563  $AS_{F_{o\theta}}$  is a simple pendulum of length  $l$ , so it is identical to  $AS_{F_n}$ . However,  
 564 the causal interaction scheme  $CS_{F_{o\theta}}$  is more specific than  $CS_{F_n}$ , for it consists  
 565 in releasing the pendulum's bob at an arbitrary instant, with zero tangent ve-  
 566 locity, and in a position sufficiently close to the intersection  $O$  between the  
 567 swinging path and the vertical straight line  $r$  passing through the pendulum  
 568 pivot. This last clause can be put in the following form. Let  $\theta$  ( $0 \leq \theta \leq \pi$ ) be  
 569 the measure, in radians, of the angle between  $r$  and a straight line  $s$  passing  
 570 through the pivot; let  $x$  be the bob's releasing position on the swinging path  
 571 (where the origin is  $O$ , and the positive path direction is anticlockwise); then,  
 572  $-\theta \leq x/l \leq \theta$ . Thus, for  $\theta$  sufficiently close to 0, the pendulum only performs  
 573 small swings, when its bob is released at an arbitrary instant, with zero tan-  
 574 gent velocity and in position  $x$ .  $B_{F_{o\theta}}$  is then the set of all concrete simple  
 575 pendula that satisfy the given more specific scheme of causal interaction. Any  
 576 such device will be called a *small swing pendulum*.

577 Let  $I_{H_{o\theta}}$  be the following interpretation of  $DS_o$  on the phenomenon of small  
 578 pendulum swings  $H_{o\theta}$ . The first component  $X$  of the state space of  $DS_o$  is  
 579 the set of all possible values of the *bob position* of an arbitrary small swing  
 580 pendulum, the second component  $\dot{X}$  is the set of all possible values of the *bob*  
 581 *tangent velocity*, and the time set  $V$  of  $DS_o$  is the set all possible instants  
 582 of *physical time*. These three magnitudes are measurable properties of the  
 583 intended phenomenon  $H_{o\theta}$ . Therefore,  $I_{H_{o\theta}}$  is an empirical interpretation of  
 584  $DS_o$  on  $H_{o\theta}$ , and  $DS_{o\theta} = (DS_o, I_{H_{o\theta}})$  is a model of  $H_{o\theta}$ . Furthermore, if  $\theta$   
 585 is sufficiently small, such a model turns out to be empirically correct (for an  
 586 appropriate value of the constant  $g$ , see note 16). In what follows,  $DS_{o\theta}$  will  
 587 be called the *small swing pendulum model*.

### 588 5.3 Sufficient conditions for partial and approximate reduction

589 Let us notice now that the unrestricted pendulum model  $DS_n$  and the small  
 590 swing pendulum model  $DS_{o\theta}$  satisfy case 1 above (sec. 4), for  $B_{F_{o\theta}} \subset B_{F_n}$  (by  
 591 the definitions of the respective application domains  $B_{F_n}$  and  $B_{F_{o\theta}}$ ). More-  
 592 over, we have seen (sec. 5.1, par. 2) that, for any  $\theta \in [0, \pi]$ ,  $DS_n$   $C_\theta$ - $\delta_\theta^{min}$ -  
 593 emulates  $DS_o$ . The question then naturally arises whether this condition is  
 594 sufficient for reduction of  $DS_{o\theta}$  to  $DS_n$ .

595 Let us notice first that  $C_\theta$  is, on the one hand, the emulation domain with  
 596 respect to which dynamical system  $DS_n$  partially emulates  $DS_o$  and, on the  
 597 other hand,  $C_\theta$  is determined by the specific causal interaction scheme  $CS_{F_{o\theta}}$   
 598 of the phenomenon of small pendulum swings. As a consequence,  $C_\theta$  can be  
 599 thought as singling out that part of the structure of  $DS_o$  that has an empirical  
 600 interpretation according to  $I_{H_{o\theta}}$ . Let us call  $E(C_\theta) = \{e: e = (c, h^v(c)), \text{ for}$   
 601  $\text{some } c \in C_\theta \text{ and some } v \in V\}$  *the empirical substructure of  $DS_o$  relative to*

<sup>16</sup> $g = 9.80665 \text{ m/s}^2$  (standard gravity) will be appropriate for many purposes.

602 *interpretation*  $I_{H_{o\theta}}$ .<sup>17</sup> Thus, by def. [11], the *whole* empirical substructure  
 603  $E(C_\theta)$  is represented, through a partial emulation function  $u$ ,<sup>18</sup> by corre-  
 604 sponding structure of  $DS_n$ , within approximation degree  $\delta_\theta^{min}$ . Suppose now  
 605 that  $\Delta > 0$  is the desired approximation degree. Then, if  $\delta_\theta^{min} \leq \Delta$ , we can  
 606 safely conclude that  $\mathbf{DS}_{o\theta}$  is reduced to  $\mathbf{DS}_n$ .

607 In this connection, also recall that  $\lim_{\theta \rightarrow 0^+} \delta_\theta^{min} = 0$ , where  $\theta \in [0, \pi]$  (sec.  
 608 5.1, par. 3). This means that the best approximation degree  $\delta_\theta^{min}$  to which  
 609 the empirical substructure  $E(C_\theta)$  is represented by corresponding structure  
 610 of  $DS_n$  can be made as small as we please, by taking a sufficiently small value  
 611 of  $\theta$ . More precisely, for any desired approximation degree  $\Delta > 0$ , there is a  
 612 sufficiently small  $\theta_\Delta$  such that, for any  $\theta$ , if  $0 < \theta < \theta_\Delta$ , then  $\delta_\theta^{min} < \Delta$ . In  
 613 addition, recall that  $\delta_0^{min} = 0$  (sec. 5.1, par. 3); therefore, for any  $\theta < \theta_\Delta$ ,  
 614  $\delta_\theta^{min} < \Delta$ . It thus follows that, for any  $\theta < \theta_\Delta$ ,  $\mathbf{DS}_{o\theta}$  is reduced to  $\mathbf{DS}_n$ .

615 In the general case, let  $H = (F, B_F)$  be an arbitrary phenomenon, and  
 616  $\mathbf{DS} = (DS, I_H)$  be any empirically interpreted dynamical system such that  $\mathbf{DS}$   
 617 is an empirical model of  $H$ ; let  $DS = (M, (g^t)_{t \in T})$ . Let us assume that, in force  
 618 of interpretation  $I_H$ , there is a one-to-one correspondence between the *initial*  
 619 *conditions* specified by the causal interaction scheme  $CS_F$  of phenomenon  
 620  $H$  (see sec. 4, par. 2) and a set of states of the dynamical system  $DS$ ; let  
 621  $C_F \subseteq M$  be such a set. Then,  $C_F$  is called *the empirical domain of DS relative*  
 622 *to interpretation  $I_H$* , and  $E(C_F) = \{e : e = (c, g^t(c)), \text{ for some } c \in C_F \text{ and}$   
 623  $\text{some } t \in T\}$  is called *the empirical substructure of DS relative to  $I_H$* .

624 Let  $H_1 = (F_1, B_{F_1})$  and  $H_2 = (F_2, B_{F_2})$  be two phenomena, and  $\mathbf{DS}_1 =$   
 625  $(DS_1, I_{H_1})$  and  $\mathbf{DS}_2 = (DS_2, I_{H_2})$  be two empirically interpreted dynamical  
 626 systems such that  $\mathbf{DS}_1$  is an empirical model of  $H_1$  and  $\mathbf{DS}_2$  is an empirical  
 627 model of  $H_2$ . Let  $C_{F_2}$  be the empirical domain of  $DS_2$  relative to  $I_{H_2}$ , and  
 628  $\Delta > 0$  be the desired approximation degree for  $DS_1$   $C_{F_2}$ - $\delta$ -emulating  $DS_2$ .  
 629 The previous example thus suggests that case 1 (sec. 4) be supplemented  
 630 with a weaker sufficient condition for reduction, as follows.

631 **Case 1a.** Let us suppose that  $B_{F_2} \subseteq B_{F_1}$ . If  $DS_1$   $C_{F_2}$ - $\delta$ -emulates  $DS_2$  and  
 632  $\delta \leq \Delta$ , then  $\mathbf{DS}_2$  is reduced to  $\mathbf{DS}_1$ .

633 A corresponding weaker condition can also be given for the case of *incom-*  
 634 *plete reduction* (case 3, sec. 4), as follows.

635 **Case 3a.** Suppose that  $B_{F_2} \cap B_{F_1} \neq \emptyset$  and  $\neg(B_{F_2} \subseteq B_{F_1})$ . If  $DS_1$   $C_{F_2}$ - $\delta$ -  
 636 emulates  $DS_2$  and  $\delta \leq \Delta$ , then  $\mathbf{DS}_2$  is *incompletely reduced to  $\mathbf{DS}_1$* .

637 As for multiple reduction to a family  $(\mathbf{DS}_j)_{j \in J} = ((DS_j, I_{H_j}))_{j \in J}$  of empir-  
 638 ically interpreted dynamical systems, we get the following weaker condition.  
 639 For  $\mathbf{DS}_2$  to be *multiply reduced to  $(\mathbf{DS}_j)_{j \in J}$* , it is sufficient that, for any  $j$   
 640  $\in J$ ,  $B_{F_2} \cap B_{F_j} \neq \emptyset$ ,  $\neg(B_{F_2} \subseteq B_{F_j})$ ,  $DS_j$   $C_{F_2}$ - $\delta$ -emulates  $DS_2$ ,  $\delta_j \leq \Delta$ , and  
 641  $B_{F_2} \subseteq \cup_{j \in J} B_{F_j}$ .

<sup>17</sup>See van Fraassen 1980 for a general discussion of the concept of an empirical substructure.

<sup>18</sup>Recall that, in this particular case,  $u$  is the identity function on  $C_{\phi, \theta}$ .

## 642 6 Concluding remarks

643 I have argued in this paper that reduction is better analyzed in terms of a  
 644 *representational* relationship between *models*, rather than a *deductive* rela-  
 645 tionship between *theories*. Contrary to the received view, reduction has been  
 646 conceived as a manifestation of an underlying representational relationship  
 647 between mathematical models, namely, the one of *emulation*.

648 The representational theory of reduction has been developed so far only for  
 649 the special case of dynamical systems (either empirically interpreted, or not).  
 650 But, even in this special form, the theory is far from being complete.

651 Furthermore, even a complete representational theory for dynamical sys-  
 652 tems would not be sufficient to account for all relevant cases of reduction,  
 653 for many models in real science are not of this kind. What we need is a  
 654 *general* representational theory, as precise as the one restricted to dynamical  
 655 systems, which apply to *arbitrary models*. The formulation of such a general  
 656 theory, however, is not an easy matter, for it involves a preliminary investiga-  
 657 tion of fairly hard questions like: What is, *in general*, a purely mathematical  
 658 model?<sup>19</sup> What is a structure preserving mapping between two *arbitrary*  
 659 mathematical models? What is the relationship between two *arbitrary* math-  
 660 ematical models that generalizes the one of emulation between dynamical  
 661 systems? What is, *in general*, an empirical interpretation of a mathematical  
 662 model on a phenomenon?

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<sup>19</sup>In sec. 3 (see B1), I defined a mathematical model  $MS$  as a set  $D$  together with a family  $(\sigma_i)_{i \in I}$  of relations on  $D$ . This definition is fine as far as *relational* models are concerned, but not all mathematical models are of this kind. For instance, a topological space (with the standard axiomatization in terms of open sets) is not a relational model. Bourbaki 1968 (ch. 4) contains a quite general treatment of mathematical structures. However, Bourbaki's general theory of structures is developed at the metamathematical level. What we need is a theory of models developed *within* set theory, and thus at the mathematical level, as general as Bourbaki's metamathematical theory of structures.

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